

Company Name & Code: Universal Sompo General Insurance Company Limited ; 134

Statement as on: Sep 30, 2020

Name of the Fund :

UNIVERSAL SOMPO

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

(₹ In Lakhs)

No.	Category of Investment	Category Code	Current Quarter					Year to Date					Previous Period				
			Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
			Book Value	Market Value				Book Value	Market Value				Book Value	Market Value			
1	CENTRAL GOVERNMENT SECURITIES	CGSB	77,329.62	84,410.20	1,320.49	6.77	5.07	75,144.95	84,410.20	2,567.02	6.81	5.10	53,605.83	63,190.28	1,851.58	6.91	5.17
		CTRB	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
		CDSS	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	STATE GOVERNMENT/OTHER APPROVED SEC. / OTHER GUARANTEED SEC.	SGOA	478.10	492.43	4.93	5.38	4.02	478.10	492.43	4.93	5.38	4.02	-	-	-	-	-
		SGGB	46,917.55	49,603.74	834.56	7.06	5.28	43,736.27	49,603.74	1,565.38	7.14	5.34	29,302.29	29,175.56	1,253.32	8.55	6.40
3	BONDS / DEBENTURES ISSUED BY NHB / INSTITUTIONS ACCREDITED BY NHB	HTDN	24,230.12	27,061.89	515.02	8.43	6.31	24,932.76	27,061.89	996.29	7.97	5.96	27,391.06	28,895.34	1,130.28	8.25	6.18
	Bonds / Debentures issued by HUDCO	HTHD	11,120.88	11,539.72	193.37	6.90	5.16	10,687.98	11,539.72	369.84	6.90	5.16	4,194.50	7,621.50	69.50	7.78	5.82
4	INFRASTRUCTURE / SOCIAL SECTOR INVESTMENTS																
	Infrastructure - PSU - Equity shares - Quoted	ITPE	50.81	50.38	1.25	9.78	7.32	47.08	50.38	1.25	5.31	3.97	110.57	14.96	1.70	3.07	2.30
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Taxable - Infrastructure / Social Sector - PSU - Debentures / Bonds	IPTD	78,474.03	82,736.39	1,531.00	7.74	5.79	83,683.70	82,736.39	3,227.81	7.69	5.76	57,828.20	69,841.46	2,298.44	7.95	5.95
	Infrastructure - Infrastructure Development Fund (IDF)	IDDF	7,972.94	7,692.41	166.17	8.27	6.19	8,424.70	7,692.41	349.19	8.27	6.19	11,422.90	11,566.86	476.57	8.34	6.24
	Taxable - Infrastructure / Social Sector - Other Corporate Securities (Approved Investments) - Debentures/Bonds	ICTD	1,582.15	485.92	32.11	8.05	6.03	2,292.98	485.92	91.38	7.95	5.95	4,539.32	3,504.13	182.87	8.06	6.03
	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - Debentures / Bonds - Tax Free Bond	IPFD	1,069.33	1,123.51	50.54	18.75	18.75	2,320.58	1,123.51	183.88	15.80	15.80	2,564.49	3,261.22	16.00	3.81	3.81
5	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS																
	PSU (Approved Investment) - Equity Shares - Quoted	EAEQ	986.16	595.36	(7.14)	(2.87)	(2.87)	1,088.35	595.36	(7.14)	(1.31)	(1.31)	804.34	738.40	7.40	1.84	1.38
	Corporate Securities - Equity Shares (Ordinary) - Quoted	EACE	1,591.20	1,744.76	23.84	5.94	5.94	1,575.95	1,744.76	(7.69)	(0.97)	(0.97)	1,759.31	1,855.08	77.55	8.82	6.60
	Corporate Securities - Bond Taxable	EBPT	1,502.88	1,539.41	24.00	6.34	4.74	1,447.98	1,539.41	45.94	6.33	4.74	-	-	-	-	-
	Corporate Securities - (Approved Investment) - Debentures	ECOS	40,904.11	45,231.29	768.46	7.45	5.58	40,080.29	45,231.29	1,505.50	7.49	5.61	31,893.80	35,504.93	1,312.58	8.23	6.16
	Commercial Papers - Approved Investment	ECCP	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Deposits - Deposit with Scheduled Banks	ECDB	9,041.41	9,285.00	126.96	5.57	4.17	6,863.95	9,285.00	187.16	5.44	4.07	825.56	550.00	9.74	4.74	3.55
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [PSU Banks]	EAPS	3,007.28	3,074.14	63.83	8.42	6.30	3,007.29	3,074.14	126.68	8.40	6.29	3,007.38	3,005.42	126.71	8.43	6.31
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	3,562.23	400.79	36.47	4.06	3.04	4,072.40	400.79	94.00	4.65	3.48	6,094.78	9,135.90	244.00	8.57	6.41
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Private Banks]	EAPB	1,002.08	1,035.65	22.30	8.83	6.61	1,002.08	1,035.65	44.33	8.82	6.60	1,002.11	1,010.78	44.26	8.83	6.61
6	OTHER INVESTMENTS																
	Other Investments - Equity Shares (incl. Co - op Societies)	OESH	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Debt/Income/Serial Plans/Liquid Schemes (Others)	OMGS	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Debentures	OLDB	1,083.76	1,002.09	(0.05)	(0.02)	(0.02)	1,375.50	1,002.09	(0.10)	(0.01)	(0.01)	3,075.49	3,292.22	(65.13)	(4.24)	(4.24)
	Bonds - PSU - Taxable	OBPT	-	-	-	-	-	-	-	-	-	-	724.18	500.58	31.40	8.67	6.49
	Infrastructure - Debentures / Bonds / CPs / loans	IODS	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (PSUs & Unlisted)	OEPD	193.70	143.69	-	-	-	193.70	143.69	-	-	-	-	-	-	-	-
	Passively Managed Equity ETF Non Promoter Group)	OETF	224.38	232.54	-	-	-	224.38	232.54	-	-	-	104.30	176.89	-	-	-
	Commercial Papers	OACP	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL			3,12,180.92	3,29,481.31	5,708.12	7.25	5.43	3,12,230.11	3,29,481.31	11,345.64	7.25	5.42	2,35,235.23	2,72,841.50	9,068.75	7.71	5.77

Date:

Signature:

Full name:

Designation:

Note: Category of Investment (COI) shall be as per Guidelines

1 To be calculated based on Monthly or lesser frequency 'Weighted Average' of Investments

2 Yield netted for Tax

3 FORM-1 shall be prepared in respect of each fund.