

PERIODIC DISCLOSURES

FORM NL-28-STATEMENT OF ASSETS - 3B

Insurer: Universal Sompo General Insurance Company Limited; 134

Date : As on Sep 30,2019

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No	PARTICULARS	SCH	AMOUNT
1	Investments		
	a. Shareholders Fund	8	66,247
	b. PolicyholdersFund	8A	2,03,178
2	Loans	9	-
3	Fixed Assets	10	3,713
4	Deferred Tax Liability		88
5	<b>Current Assets</b>		-
	a. Cash & Bank Balance	11	8,584
	b. Advances & Other Assets	12	82,898
6	<b>Current Liabilities</b>		-
	a. Current Liabilities	13	2,10,407
	b. Provisions	14	64,334
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		-

**Application of Funds as per Balance Sheet (A) 89,966**

	Less: Other Assets	SCH	AMOUNT
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	3,713
3	Deferred Tax Liability		88
4	Cash & Bank Balance (if any)	11	8,584
5	Advances & Other Assets (if any)	12	82,898
6	Current Liabilities	13	2,10,407
7	Provisions	14	64,334
8	Misc. Exp not Written Off	15	-
9	Debit Balance of P&L A/c		-

**TOTAL (B) (1,79,460)**

**'Investment Assets' As per FORM 3B (A-B) 2,69,426**

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No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value
			Balance	FRSM*						
			(a)	(b)						
1	G. Sec.	Not less than 20%	-	15,396	47,220	62,616	23.20%	-	62,616	63,190
2	G. Sec or Other Apporved Sec. (incl. (1) above)	Not less than 30%	-	22,403	68,708	91,111	33.75%	-	91,111	92,366
3	<b>Investment subject to Exposure Norms</b>		-	<b>43,968</b>	<b>1,34,847</b>	<b>1,78,815</b>	<b>66.25%</b>	<b>90.56</b>	<b>1,78,905</b>	<b>1,80,476</b>
	1. Housing & Loans to SG for Housing and FFE	Not less than 5%	-	8,984	27,553	36,537	13.54%	-	36,537	36,802
	2. Infrastructure Investments	Not less than 10%	-	21,387	65,592	86,979	32.22%	-	86,979	88,189
	3. Approved Investments	Not exceeding 55%	-	12,548	38,484	51,032	18.91%	80.48	51,113	51,516
	4. Other Investments (not exceeding 25%)		-	1,049	3,218	4,267	1.58%	10.08	4,277	3,970
<b>Total Investment Assets</b>		<b>100%</b>	<b>-</b>	<b>66,370</b>	<b>2,03,555</b>	<b>2,69,925</b>	<b>100.00%</b>	<b>90.56</b>	<b>2,70,016</b>	<b>2,72,841</b>

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Signature: \_\_\_\_\_

Full name: \_\_\_\_\_

Designation: \_\_\_\_\_

Note: (+) FRMS refers 'Funds representing Solvency Margin'

(\*) Pattern of Investment will apply only to SH funds representing FRMS

(^ ) Book Value shall not include funds beyond Solvency Margin

Other Investments' are as permitted under Sec 27A(2) and 27B(3)