

## FORM NL-36-YIELD ON INVESTMENT

Company Name &amp; Code: Universal Sompo General Insurance Company Limited ; 134

Statement as on: December 31, 2017

Name of the Fund :

UNIVERSAL SOMPO

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No.	Category of Investment	Category Code	Current Quarter					Year to Date					Previous Year				
			Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.)		Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>
			Book Value	Market Value				Book Value	Market Value				Book Value	Market Value			
1	CENTRAL GOVERNMENT SECURITIES	CGSB	46,082.92	57,113.22	758.93	6.53	6.53	46,368.04	57,113.22	2,902.32	8.31	8.31	41,129.94	48,407.84	2,718.68	8.77	8.77
		CTRB	-	-	-	-	-	3,896.36	-	47.26	1.61	1.61	-	-	-	-	-
		CDSS	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	STATE GOVERNMENT/OTHER APPROVED SEC/ OTHER GUARANTEED SEC.	SGOA	399.70	414.88	8.31	8.25	8.25	399.68	414.88	24.94	8.28	8.28	399.63	430.78	24.94	8.28	8.28
		SGBB	13,804.47	16,084.42	249.78	7.18	7.18	9,932.85	16,084.42	558.33	7.46	7.46	4,001.04	3,755.62	367.80	12.20	12.20
3	BONDS / DEBENTURES ISSUED BY NHB / INSTITUTIONS ACCREDITED BY NHB	HTDN	23,247.09	27,614.04	464.47	7.93	7.93	21,759.90	27,614.04	1,322.52	8.07	8.07	15,635.93	18,322.09	1,032.55	8.76	8.76
4	INFRASTRUCTURE / SOCIAL SECTOR INVESTMENTS		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - Equity shares Quoted	ITPE	230.85	160.28	-	-	-	326.31	160.28	16.68	6.78	6.78	306.00	301.38	64.74	28.08	28.08
	Infrastructure - Corporate Securities - Equity shares - Quoted	ITCE	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Taxable - Infrastructure / Social Sector - PSU - Debentures / Bonds	IPTD	22,503.27	27,666.45	456.63	8.05	8.05	20,852.42	27,666.45	1,327.17	8.45	8.45	15,955.43	15,975.52	1,119.90	9.32	9.32
	Infrastructure - Infrastructure Development Fund (IDF)	IDDF	10,546.67	11,567.03	221.71	8.34	8.34	11,315.41	11,567.03	803.34	9.42	9.42	7,739.32	9,665.57	504.34	8.65	8.65
	Taxable - Infrastructure / Social Sector - Other Corporate Securities (Approved Investments) - Debentures/Bonds	ICTD	8,546.08	8,533.81	174.48	8.10	8.10	8,329.68	8,533.81	525.75	8.38	8.38	6,750.08	7,587.60	461.18	9.07	9.07
	Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	-	2,768.13	-	69.73	3.34	3.34	961.99	992.99	62.22	8.59	8.59
5	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	PSU (Approved Investment) - Equity Shares - Quoted	EAEQ	477.42	417.52	37.20	30.91	30.91	384.91	417.52	87.02	30.01	30.01	122.10	-	13.80	15.00	15.00
	Corporate Securities - Equity Shares (Ordinary) - Quoted	EACE	2,124.18	2,061.23	5.10	0.95	0.95	2,064.01	2,061.23	40.14	2.58	2.58	2,517.14	2,051.65	205.06	10.81	10.81
	Corporate Securities - Bond Taxable	EPBT	-	-	-	-	-	1,002.09	-	28.76	3.81	3.81	1,332.50	1,027.09	92.85	9.25	9.25
	Corporate Securities - (Approved Investment) - Debentures	ECOS	31,480.22	41,122.42	637.73	8.04	8.04	26,152.51	41,122.42	1,709.15	8.67	8.67	13,252.48	18,517.37	905.67	9.07	9.07
	Commercial Papers - Approved Investment	ECCP	-	-	-	-	-	5,227.70	-	26.53	0.67	0.67	4,889.94	2,988.73	301.89	8.19	8.19
	Deposits - Deposit with Scheduled Banks	ECDB	2,803.66	2,092.00	57.27	8.10	8.10	3,778.45	2,092.00	228.08	8.01	8.01	5,027.05	8,683.89	276.29	7.29	7.29
	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [PSU Banks]	EAPS	3,007.53	2,956.98	63.72	8.41	8.41	2,362.59	2,956.98	149.12	8.38	8.38	-	-	-	-	-
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	3,601.62	7,263.20	65.45	7.21	7.21	5,000.82	7,263.20	292.61	7.77	7.77	1,951.70	3,421.86	134.08	9.12	9.12
6	OTHER INVESTMENTS		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Other Investments - Equity Shares (incl. Co - op Societies)	OESH	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Debt/Income/Serial Plans/Liquid Schemes (Others)	OMGS	-	-	-	-	-	-	-	-	-	788.60	-	21.38	3.60	3.60	
	Debentures	OLDB	100.79	102.26	2.65	10.41	10.41	144.76	102.26	5.87	5.38	5.38	-	-	-	-	-
	Bonds - PSU - Taxable	OBPT	1,001.63	1,009.53	21.69	8.59	8.59	1,001.73	1,009.53	36.07	4.78	4.78	-	-	-	-	-
	Infrastructure - Debentures / Bonds / CPs / loans	IODS	-	-	-	-	-	100.82	-	0.83	1.10	1.10	-	-	-	-	-
	Equity Shares (PSUs & Unlisted)	OEPU	122.21	107.03	-	-	-	122.21	107.03	-	-	-	-	-	-	-	-
	TOTAL		1,69,903.80	2,06,286.30	3,225.12	7.53	7.53	1,62,855.36	2,06,286.30	10,202.22	8.31	8.31	1,22,760.86	1,42,129.98	8,307.35	8.98	8.98

Date:

Signature:

Full name:

Designation:

**Note:** Category of Investment (COI) shall be as per Guidelines

1 To be calculated based on Monthly or lesser frequency 'Weighted Agerage' of Investments

2 Yield netted for Tax

3 FORM-1 shall be prepared in respect of each fund.