

PERIODIC DISCLOSURES

FORM NL-28-STATEMENT OF ASSETS - 3B

Insurer: Universal Sompo General Insurance Company Limited; 134

Date : As on September 30, 2016

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No	PARTICULARS	SCH	AMOUNT
1	Investments	8	122,599.82
2	Loans	9	-
3	Fixed Assets	10	3,064.63
4	Deferred Tax Assets		146.08
5	Current Assets		-
	a. Cash & Bank Balance	11	3,836.63
	b. Advances & Other Assets	12	11,775.45
6	Current Liabilities		-
	a. Current Liabilities	13	70,125.45
	b. Provisions	14	40,458.50
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		12,812.76

Application of Funds as per Balance Sheet (A) 43,651.42

	Less: Other Assets	SCH	AMOUNT
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	3,064.63
3	Deferred Tax Assets		146.08
4	Cash & Bank Balance (if any)	11	3,836.63
5	Advances & Other Assets (if any)	12	11,775.45
6	Current Liabilities	13	70,125.45
7	Provisions	14	40,458.50
8	Misc. Exp not Written Off	15	-
9	Debit Balance of P&L A/c		12,812.76

TOTAL (B) (78,948.40)

'Investment Assets' As per FORM 3B **(A-B)** 122,599.82

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(₹ in Lakhs)

No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value	
			Balance	FRSM*							
			(a)	(b)							
1	G. Sec.	Not less than 20%	-	8,642.67	33,674.18	42,316.84	34.54	-	42,316.84	43,507.81	
2	G. Sec or Other Apporved Sec. (incl. (1) above)	Not less than 30%	-	8,642.67	38,070.29	46,712.95	38.12	-	46,712.95	48,247.97	
3	Investment subject to Exposure Norms		-	12,013.84	63,801.61	75,815.45	61.88	71.42	75,886.87	77,156.80	
	1. Housing & Loans to SG for Housing and FFE	Not less than 5%	-	2,500.20	13,592.78	16,092.98	13.13	-	16,092.98	16,302.62	
	2. Infrastructure Investments	Not less than 10%	-	4,797.48	27,487.03	32,284.51	26.35	49.59	32,334.10	33,051.17	
	3. Approved Investments	Not exceeding 55%	-	4,586.16	22,721.80	27,307.96	22.29	21.75	27,329.70	27,672.93	
	4. Other Investments (not exceeding 25%)	-	-	130.00	-	130.00	0.11	0.08	130.08	130.08	
Total Investment Assets			100%	-	20,656.50	101,871.90	122,528.40	100.00	71.42	122,599.82	125,404.77

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Signature: _____

Full name: _____

Designation: _____

Note: (+) FRMS refers 'Funds representing Solvency Margin'

(*) Pattern of Investment will apply only to SH funds representing FRMS

(^A) Book Value shall not include funds beyond Solvency Margin

Other Investments' are as permitted under Sec 27A(2) and 27B(3)