

PERIODIC DISCLOSURES

FORM NL-28-STATEMENT OF ASSETS - 3B

Insurer: Universal Sompo General Insurance Company Limited; 134

Date : As on 30 September 2015

Statement of Investment Assets (General Insurer, Re-insurers)

(Business within India)

Periodicity of Submission: Quarterly

(₹ in Lakhs)

No	PARTICULARS	SCH	AMOUNT
1	Investments	8	107,408.03
2	Loans	9	-
3	Fixed Assets	10	2,191.56
4	Deferred Tax Assets		20.69
5	Current Assets		-
	a. Cash & Bank Balance	11	2,545.63
	b. Advances & Other Assets	12	25,734.58
6	Current Liabilities		-
	a. Current Liabilities	13	75,670.73
	b. Provisions	14	34,590.61
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		15,877.76
Application of Funds as per Balance Sheet (A)			43,516.90

	Less: Other Assets	SCH	AMOUNT
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	2,191.56
3	Deferred Tax Assets		20.69
4	Cash & Bank Balance (if any)	11	2,545.63
5	Advances & Other Assets (if any)	12	25,734.58
6	Current Liabilities	13	75,670.73
7	Provisions	14	34,590.61
8	Misc. Exp not Written Off	15	-
9	Debit Balance of P&L A/c		15,877.76
TOTAL (B)			(63,891.13)
'Investment Assets' As per FORM 3B			(A-B) 107,408.03

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(Business within India)

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(₹ in Lakhs)

No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value
			Balance	FRSM*						
			(a)	(b)						
1	G. Sec.	Not less than 20%	-	9,680.28	25,464.50	35,144.78	32.70	-	35,144.78	35,173.58
2	G. Sec or Other Apporved Sec. (incl. (1) above)	Not less than 30%	-	9,680.28	29,359.03	39,039.31	36.33	-	39,039.31	39,230.89
3	Investment subject to Exposure Norms		-	16,516.74	51,915.08	68,431.82	63.67	(63.10)	68,368.72	69,103.87
	1. 'Housing & Loans to SG for Housing and FFE, Infrastructure Investments	Not less than 15%	-	2,997.72	10,088.45	13,086.16	12.18	-	13,086.16	13,196.06
	2. Infrastructure Investments	Not less than 10%	-	6,501.63	21,254.89	27,756.52	25.83	-	27,756.52	28,072.46
	3. Approved Investments	Not exceeding 55%	-	6,832.10	20,571.74	27,403.85	25.50	(27.31)	27,376.54	27,685.85
	4. Other Investments (not exceeding 25%)	-	-	185.29	-	185.29	0.17	(35.79)	149.50	149.50
Total Investment Assets		100%	-	26,197.02	81,274.11	107,471.13	100.00	(63.10)	107,408.03	108,334.76

Certification:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Signature: _____

Full name:

Designation:

Note: (+) FRMS refers 'Funds representing Solvency Margin'

(*) Pattern of Investment will apply only to SH funds representing FRMS

(^) Book Value shall not include funds beyond Solvency Margin

Other Investments' are as permitted under Sec 27A(2) and 27B(3)