

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return (other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U66010MH2007PLC166770

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACU8917F

(ii) (a) Name of the company

UNIVERSAL SOMPO GENERAL I

(b) Registered office address

Unit No. 103, 1st Floor, Ackruti Star, MIDC, NA
Andheri East
Mumbai City
Maharashtra
400093

(c) *e-mail ID of the company

aarti.kamath@universalsompo

(d) *Telephone number with STD code

02241659900

(e) Website

www.universalsompo.com

(iii) Date of Incorporation

05/01/2007

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☐ Yes

☒ No

(b) CIN of the Registrar and Transfer Agent

L72400TG2017PLC117649

Pre-fill

Name of the Registrar and Transfer Agent

KFIN TECHNOLOGIES LIMITED

Registered office address of the Registrar and Transfer Agents

Selenium, Tower B, Plot No- 31 & 32, Financial District, N
anakramguda, Serili ngampally NA

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted ☐ Yes ☒ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K3	Life/Non-life Insurance and Reinsurance activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	400,000,000	368,181,820	368,181,820	368,181,820
Total amount of equity shares (in Rupees)	4,000,000,000	3,681,818,200	3,681,818,200	3,681,818,200

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	400,000,000	368,181,820	368,181,820	368,181,820
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	4,000,000,000	3,681,818,200	3,681,818,200	3,681,818,200

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	0	368,181,820	368181820	3,681,818,200	3,681,818,200	
Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0

v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <div></div>						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <div></div>						
At the end of the year	0	368,181,820	368181820	3,681,818,2	3,681,818,	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <div></div>						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <div></div>						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☐ Nil

[Details being provided in a CD/Digital Media]

☐

Yes

☐

No

☒

Not Applicable

Separate sheet attached for details of transfers

☐

Yes

☐

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	24/06/2022		
Date of registration of transfer (Date Month Year)	11/01/2023		
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	1	Amount per Share/ Debenture/Unit (in Rs.)	10
Ledger Folio of Transferor	DP ID: IN303028 Client ID: 52258296		
Transferor's Name	Natarajan		Ravichandran
	Surname	middle name	first name
Ledger Folio of Transferee	DP ID: IN301637 Client ID: 40998898		

Transferee's Name	<input type="text" value="Sarvesan"/>	<input type="text"/>	<input type="text" value="Gopal"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
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	Surname	middle name	first name
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Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
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	Surname	middle name	first name
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(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

41,691,573,567

(ii) Net worth of the Company

12,687,239,705

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	193,590,910	52.58	0	
5.	Financial institutions	0	0	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	127,443,183	34.61	0	
10.	Others Dabur Investment Corporation	47,147,727	12.81	0	
	Total	368,181,820	100	0	0

Total number of shareholders (promoters)

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(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	

	Total	0	0	0	0
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Total number of shareholders (other than promoters)

0

**Total number of shareholders (Promoters+Public/
Other than promoters)**

9

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	9	9
Members (other than promoters)	0	0
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	9	0	9	0	0
B. Non-Promoter	1	3	1	3	0	0
(i) Non-Independent	1	0	1	0	0	0
(ii) Independent	0	3	0	3	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	12	1	12	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

17

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SHANTI LAL JAIN	07692739	Nominee director	0	
SHARAD MATHUR	08754740	Managing Director	0	
SHARAD MATHUR	ABAPM7215N	CEO	0	
MAHESH KUMAR BAJAJ	08080244	Nominee director	0	
IMRAN AMIN SIDDIQUI	09153707	Nominee director	0	
SARVESAN GOPAL	09824784	Director	1	
MOHIT BURMAN	00021963	Director	0	
POLALI JAYARAMA BH	00041500	Nominee director	0	
DANIEL NEO	08126163	Nominee director	0	
GOPALASWAMY CHAI	00019185	Director	0	
ADITYA VARDHAN TIB	03161165	Nominee director	0	
TAKASHI KURUMISAW	09662704	Director	0	
BHASKAR JYOTI SARMA	05282550	Director	0	
CHHAYA MANOJ PALF	06914875	Director	0	
LUI KWANG VINCENT	09582477	Alternate director	0	
NILESH MEJARI	AHKPM4445J	CFO	0	
AARTI KAMATH	AMNPK2417Q	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

15

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Aditya Vardhan Tibrewa	03161165	Additional director	01/04/2022	Appointed as Additional Director
Shanti Lal Jain	07692739	Additional director	15/12/2021	Appointed as Additional Director
Lui Kwang Vincent Ng	09582477	Alternate director	09/05/2022	Appointed as Alternate Director to Mr
Lui Kwang Vincent Ng	09582477	Alternate director	09/08/2022	Cessation
Lui Kwang Vincent Ng	09582477	Alternate director	17/11/2022	Appointed as Alternate Director to Mr
Mudit Gupta	09582523	Alternate director	16/05/2022	Appointed as Alternate Director to Mr
Mudit Gupta	09582523	Alternate director	17/11/2022	Cessation
Mudit Gupta	09582523	Alternate director	13/02/2023	Appointed as Alternate Director to Mr
Imran Amin Siddiqui	09153707	Additional director	27/07/2022	Appointed as Additional Director
Yasuhiro Sasanuma	08088457	Nominee director	31/12/2022	Cessation
Partha Pratim Sengupta	08273324	Nominee director	31/12/2022	Cessation
N. Ravichandran	08776311	Nominee director	31/12/2022	Cessation
Hirokazu Yokomizo	08443508	Nominee director	01/04/2022	Cessation
Aditya Vardhan Tibrewa	03161165	Nominee director	24/06/2022	Appointment regularised at AGM
Shanti Lal Jain	07692739	Nominee director	24/06/2022	Appointment regularised at AGM

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

3

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	24/06/2022	9	5	87.19
Extraordinary General Meet	21/09/2022	9	5	87.19
Extraordinary General Meet	20/02/2023	9	7	100

B. BOARD MEETINGS

*Number of meetings held

5

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	10/05/2022	13	12	92.31
2	24/06/2022	13	11	84.62
3	10/08/2022	14	14	100
4	17/11/2022	14	14	100
5	03/02/2023	13	13	100

C. COMMITTEE MEETINGS

Number of meetings held

27

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	06/05/2022	5	5	100
2	Audit Committee	05/08/2022	5	5	100
3	Audit Committee	18/08/2022	5	5	100
4	Audit Committee	02/11/2022	5	5	100
5	Audit Committee	02/11/2022	5	5	100
6	Audit Committee	31/01/2023	5	5	100
7	Audit Committee	28/03/2023	5	5	100
8	Corporate Social Responsibility	05/05/2022	5	5	100
9	Corporate Social Responsibility	03/08/2022	5	4	80
10	Corporate Social Responsibility	19/10/2022	6	6	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	20/06/2023
								(Y/N/NA)
1	SHANTI LAL J	5	5	100	4	4	100	Yes
2	SHARAD MAT	5	5	100	16	15	93.75	Yes
3	MAHESH KUM	5	5	100	15	15	100	Yes
4	IMRAN AMIN	3	3	100	4	3	75	Yes
5	SARVESAN G	1	1	100	2	2	100	Yes
6	MOHIT BURM	5	4	80	8	7	87.5	Yes
7	POLALI JAYA	5	5	100	8	8	100	Yes
8	DANIEL NEO	5	4	80	7	7	100	No
9	GOPALASWA	5	5	100	23	22	95.65	No
10	ADITYA VAR	5	4	80	16	12	75	No
11	TAKASHI KUF	1	1	100	1	1	100	Yes
12	BHASKAR JY	5	5	100	19	19	100	No
13	CHHAYA MAN	4	4	100	14	14	100	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Sharad Mathur	MD	35,422,619	0	0	0	35,422,619
	Total		35,422,619	0	0	0	35,422,619

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Sharad Mathur	CEO	35,422,619	0	0	0	35,422,619
2	Nilesh Mejari	CFO	13,435,992	0	0	0	13,435,992

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
3	Aarti Ganesh Kama	Company Secre	4,803,515	0	0	0	4,803,515
	Total		53,662,126	0	0	0	53,662,126

Number of other directors whose remuneration details to be entered

17

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Shanti Lal Jain	Nominee Directo	0	0	0	450,000	450,000
2	Mahesh Kumar Bajaj	Nominee Directo	0	0	0	1,000,000	1,000,000
3	Imran Amin Siddiqui	Nominee Directo	0	0	0	300,000	300,000
4	Partha Pratim Sengupta	Nominee Directo	0	0	0	100,000	100,000
5	N. Ravichandran	Nominee Directo	0	0	0	500,000	500,000
6	Sarvesan Gopal	Director	0	0	0	150,000	150,000
7	Mohit Burman	Director	0	0	0	550,000	550,000
8	P. Jayarama Bhat	Nominee Directo	0	0	0	650,000	650,000
9	Daniel Neo	Nominee Directo	0	0	0	550,000	550,000
10	Yasuhiro Michiaki Sato	Nominee Directo	0	0	0	250,000	250,000
11	Aditya Tibrewala	Nominee Directo	0	0	0	800,000	800,000
12	Takashi Kurumisawa	Director	0	0	0	200,000	200,000
13	Lui Kwang Vincent Ip	Alternate Directo	0	0	0	50,000	50,000
14	Bhaskar Jyoti Sarmah	Director	0	0	0	1,310,000	1,310,000
15	Chhaya Palrecha	Director	0	0	0	1,110,000	1,110,000
16	G.C. Rangan	Director	0	0	0	1,460,000	1,460,000
17	Mudit Gupta	Alternate Directo	0	0	0	250,000	250,000
	Total		0	0	0	9,680,000	9,680,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

- * A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☒ Yes ☐ No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Malati Anil Kumar

Whether associate or fellow

☒ Associate ☐ Fellow

Certificate of practice number

10980

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director 

DIN of the director

To be digitally signed by 

- ☒ Company Secretary
☐ Company secretary in practice

Membership number Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

Attach

Attach

Attach

Attach

List of attachments

Equity ShareholdingPattern as on 31 03 20
Attendance detail of Committee meeting Si
ISIN Note 2023 Signed.pdf
MGT - 8_compressed.pdf
[Details of Changes of Directors signed.pdf](#)

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

**Shareholding Pattern of Universal Sampo General Insurance Company Limited as on
31st March 2023**

Sr. No.	Name of Shareholders	No. of Equity Shares of Rs. 10/- each	Value in Rs.	Percentage
1.	Indian Bank	10,49,99,997	1,04,99,99,970	28.52%
2.	Sampo Japan Insurance Inc (formerly known as Sampo Japan Nipponkoa Insurance Inc)	12,74,43,183	1,27,44,31,830	34.61%
3.	Indian Overseas Bank	6,64,99,999	66,49,99,990	18.06%
4.	The Karnataka Bank Ltd	2,20,90,910	22,09,09,100	6.00%
5.	Mr. Mohit Burman, Partner – Dabur Investment Corporation	4,71,47,727	47,14,77,270	12.81%
6.	Mr. Avaya Kumar Mohapatra (Nominee Shareholder of Indian Bank)	1	10	0%
7.	Mr. Arun Kumar Bansal (Nominee Shareholder of Indian Bank)	1	10	0%
8.	Mr. Satish Kumar (Nominee Shareholder of Indian Bank)	1	10	0%
9.	Mr. Gopal Sarvesan (Nominee Shareholder of IOB)	1	10	0%
	TOTAL	36,81,81,820	3,68,18,18,200	100%

For and on behalf of Universal Sampo General Insurance Company Limited

AARTI
KAMATH

Aarti Kamath

Company Secretary

Date: 03.04.2023

Universal Sampo General Insurance Co. Ltd.

Registered & Corporate Office: Unit No 103, 1st Floor, Ackruti Star, MIDC Central Road, Gautam Nagar, Andheri (E), Mumbai-400093. Maharashtra. Tel: 022 41659800 / 41659900 Toll Free 1-800-22-4030 (MTNL/BSNL) / 1-800-200-4030 (Reliance) IRDAI Registration No. 134
 Website : www.universalsampo.com Email: contactus@universalsampo.com. CIN# U66010MH2007PLC166770

**POINT IX (C) CONTINUED
ATTENDANCE OF COMMITTEE MEETING**

Sr. No.	Type of Meeting	Date of Meeting	Total of Members	Members attended the meeting	% of Attendance
1	19 th Corporate Social Responsibility Committee meeting	30 th January 2023	6	5	83.33%
2	54 th Investment Committee meeting	4 th May 2022	10	10	100%
3	55 th Investment Committee meeting	5 th August 2022	10	10	100%
4	56 th Investment Committee meeting	27 th October 2022	11	9	81.81%
5	57 th Investment Committee meeting	1 st February 2023	11	11	100%
6	47 th Policyholders Protection Committee meeting	4 th May 2022	6	6	100%
7	48 th Policyholders Protection Committee meeting	3 rd August 2022	6	6	100%
8	49 th Policyholders Protection Committee meeting	27 th October 2022	6	6	100%
9	50 th Policyholders Protection Committee meeting	30 th January 2023	6	6	100%
10	23 rd Nomination and Remuneration Committee	4 th May 2022	6	6	100%

	meeting				
11	24 th Nomination and Remuneration Committee meeting	8 th August 2022	6	6	100%
12	25 th Nomination and Remuneration Committee meeting	21 st October 2022	6	6	100%
13	26 th Nomination and Remuneration Committee meeting	31 st January 2023	6	6	100%
14	47 th Risk Management Committee meeting	9 th May 2022	5	5	100%
15	48 th Risk Management Committee meeting	4 th August 2022	5	5	100%
16	49 th Risk Management Committee meeting	17 th November 2022	5	5	100%
17	50 th Risk Management Committee meeting	3 rd February 2023	5	5	100%

For UNIVERSAL SOMPO GENERAL INSURANCE COMPANY LIMITED

**AARTI
KAMATH
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Digitally signed by
AARTI KAMATH
Date: 2023.08.14
17:04:59 +05'30'

**Aarti Kamath
Company Secretary
Membership No. F6703
Date: 14.08.2023**



**Universal Sampo
General Insurance**
Suraksha, Hamesha Aapke Saath



Rated AA+ by ICRA

**To,
The Registrar of Companies
Mumbai**

Sub: ISIN of the equity shares of the Company

Dear Sir,

Kindly note that we are unable to enter the ISIN number of the equity shares of the Company in the form. This is to inform that all the equity shares are in DEMAT form and the ISIN number is INE635M01010.

For UNIVERSAL SOMPO GENERAL INSURANCE COMPANY LIMITED

**AARTI
KAMATH**

Digitally signed
by AARTI
KAMATH
Date: 2023.08.08
16:52:53 +05'30'

**Aarti Kamath
Company Secretary
Membership No. F6703
Date: 08.08.2023**

**To,
The Registrar of Companies Mumbai**

Sub: Particulars of change in director(s) and Key Managerial Personnel during the year

Dear Sir,

Kindly note that we are unable to enter the all details of change in Directors during the previous financial year, due to limited columns in **VIII(8)(b)(2)**, hence attaching this note for submitting following remaining changes:

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment / change in designation / cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Takashi Kurumisawa	09662704	Alternate Director	11 th August 2022	Appointed as Alternate Director to Mr. Yasuhiro Sasanuma.
Takashi Kurumisawa	09662704	Alternate Director	31 st December 2022	Cessation
Takashi Kurumisawa	09662704	Additional Director	1 st January 2023	Appointed as Additional Director
Takashi Kurumisawa	09662704	Director	20 th February 2023	Appointment regularised at EGM.
Sarvesan Gopal	09824784	Additional Director	1 st January 2023	Appointed as Additional Director
Sarvesan Gopal	09824784	Director	20 th February 2023	Appointment regularised at EGM.
Imran Amin Siddiqui	09153707	Nominee Director	21 st September 2022	Appointment regularised at EGM.

For UNIVERSAL SOMPO GENERAL INSURANCE COMPANY LIMITED

AARTI
KAMATH
Digitally signed
by AARTI
KAMATH
Date: 2023.08.18
19:34:16 +05'30'

Aarti Kamath
Company Secretary
Membership No. F6703
Date: 18.08.2023



S. N. ANANTHASUBRAMANIAN & CO
Company Secretaries

10/25-26, 2nd Floor, Brindaban,
Thane (W) - 400 601
T: +91 22 25345648 | +91 22 25432704
E: snaco@snaco.net | W: www.snaco.net
ICSI Unique Code: P1991MH040400

Form No. MGT- 8

*[Pursuant to Section 92(2) of the Companies Act, 2013 and Rule 11(2) of Companies
(Management and Administration) Rules, 2014]*

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records and books and papers of **Universal Sampo General Insurance Company Limited** ("the Company") bearing CIN: U66010MH2007PLC166770 as required to be maintained under the Companies Act, 2013 ("the Act") and the rules made thereunder for the financial year ended on **31st March, 2023**.

In our opinion and to the best of the information and according to the examinations carried out by us and explanations furnished to us by the Company, its officers and agents, we certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
1. its status under the Act;
 2. maintenance of registers/records & making entries therein within the time prescribed therefor;
 3. filing of forms and returns as stated in the annual return, with the Registrar of Companies, ~~Regional Director, Central Government, the Tribunal, Court~~ or other authorities within/ beyond the prescribed time;
 4. calling/ convening/ holding meetings of Board of Directors or its committees, ~~if any,~~ and the meetings of the members of the Company on due dates as stated in the Annual Return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions ~~and resolutions passed by postal ballot, if any,~~ have been properly recorded in the Minutes Book/registers maintained for the purpose and the same have been signed;





S. N. ANANTHASUBRAMANIAN & CO
Company Secretaries

5. closure of Register of Members / Security holders, as the case may be - **Not applicable as there was no reportable event during the year under review;**
6. advances / loans to its Directors and/or persons or firms or companies referred in Section 185 of the Act - **Not applicable as there was no reportable event during the year under review;**
7. contracts/arrangements with related parties as specified in Section 188 of the Act;
8. ~~issue or allotment or transfer or transmission or buy back of securities / redemption of preference shares or debentures/ alteration or reduction of share capital / conversion of shares / securities and issue of security certificates / credit of securities in dematerialized form to respective beneficiary account in all instances ;~~
9. keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act - **Not applicable as there was no reportable event during the year under review;**
10. ~~declaration / payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with Section 125 of the Act-~~
11. signing of audited Financial Statement as per the provisions of Section 134 of the Act and report of Directors is as per sub - Sections (3), (4) and (5) thereof;
12. constitution of Board/ appointment/ re-appointments/ retirement/ ~~filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;~~
13. appointment/ ~~re-appointment~~ / filling up casual vacancy of Statutory Auditors as per the provisions of Section 139 of the Act - **M/s S.C.Bapna & Associates, Chartered Accountants has been appointed as Joint Statutory Auditors at the Extra-Ordinary General Meeting held on 21st September, 2022 to fill up the casual vacancy caused by resignation of M/s. Haribhakti & Co., LLP, Chartered Accountants on 8th September, 2022.**
14. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar of Companies, Court or such other authorities under the various provisions of the Act - **Not applicable as there was no reportable event during the year under review;**
15. acceptance/ renewal/ repayment of deposits- **Not applicable as there was no reportable event during the year under review;**





S. N. ANANTHASUBRAMANIAN & CO
Company Secretaries

16. borrowings from its Directors, Members, Public Financial Institutions, Banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable - **Not applicable as there was no reportable event during the year under review;**
17. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of Section 186 of the Act - **Not applicable as there was no reportable event during the year under review;**
18. alteration of the provisions of the Memorandum and/ or Articles of Association of the Company - **Not applicable as there was no reportable event during the year under review;**

Note:

1. For the purpose of issuance of this Certificate, we have also relied on the reports issued by the Joint Statutory Auditors of the Company and the Secretarial Auditor of the Company for the financial year ended 31st March, 2023.
2. References in respect of matters not applicable to the Company during the aforesaid financial year, have been scrolled out.

For S. N. ANANTHASUBRAMANIAN & CO.

Company Secretaries

ICSI Unique Code: P1991MH040400

Peer Review Certf. No.: 606/2019

Malati A. Kumar



Malati Kumar

Partner

ACS: 15508 | COP No.: 10980

ICSI UDIN: A015508E000813096

17th August, 2023 | Thane